

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Akihiko SHIMIZU, et al.

Serial No. : 09/406,570

Group Art Unit: 2752

Date Filed : September 24, 1999

Examiner:



For OPTICAL INFORMATION RECORDING MEDIUM
AND MASTERING METHOD THEREFOR

1185 Avenue of the Americas
New York, N.Y. 10036

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

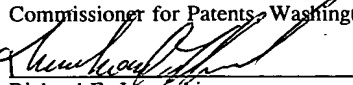
RESPONSE TO NOTICE TO FILE MISSING PARTS OF
APPLICATION-FILING DATE GRANTED
AND TRANSMISSION OF ASSIGNMENT FOR RECORDATION

Sir:

In response to the Notice to File Missing Parts of Application-Filing Date Granted dated October 20, 1999, applicants hereby transmit (i) copy of the PTO Notice, (ii) Declaration and Power of Attorney, and (iii) check for \$130 surcharge for late filing of the Declaration.

Applicant also transmits for recordation (iv) an Assignment of this invention to Ricoh Company, Ltd., (v) Recordation Form Cover Sheet (Form PTO-1595), and (vi) check for the \$40 recordation fee.

I hereby certify that this paper is being deposited this date with the
U.S. Postal Service as first class mail addressed to the Assistant
Commissioner for Patents, Washington, D.C. 20231.

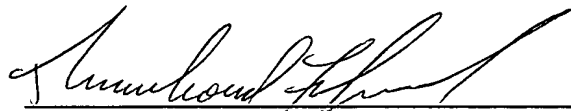

Richard F. Jaworski
Reg. No. 33,515

Dec. 17, 1999
Date

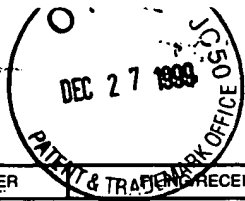
The Commissioner is authorized to charge any additional fees, or to credit any overpayment, to our Deposit Account No. 03-3125.

If a petition for an additional extension of time is required to make this response timely, this paper should be considered to be such a petition, and the Commissioner is authorized to charge the requisite fees to our Deposit Account No. 03-3125.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Richard F. Jaworski", is written over a horizontal line.

Richard F. Jaworski, Reg. No. 33,515
Attorney for Applicants
Cooper & Dunham LLP
Tel.: (212) 278-0400



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/406,570	09/24/99	SHIMIZU	A 2271/57219-A

0242/1020
IVAN S KAVRUKOV
COOPER AND DUNHAM LLP
1185 AVENUE OF THE AMERICAS
NEW YORK NY 10036

NOT ASSIGNED

2752

DATE MAILED: 10/20/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
 - ☐ insufficient.
- Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. The following additional claims fees are due:
- \$_____ for _____ total claims over 20.
 - \$_____ for _____ independent claims over 3.
 - \$_____ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
- ☒ is missing or unsigned.
 - ☐ does not cover the newly submitted items.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:
- _____
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.
- Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Elisha Evans

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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